Docket No.

214406US2SRD

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Shin-Ichi Kanno

SERIAL NO:

09/961,374

FILED:

September 25, 2001

FOR:

**EXAMINER:** DISTRIBUTED ORDER RECEPTION TEM, RECE**R**TION SERVER, CONTENT SERVER, DISTRIBUTED ORDER

RECEPTION METHOD, AND COMPUTER PROGRAM PRODUCT

## INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

RECEIVED

GAU:

DEC 2 8 2001

Applicant(s) wish to disclose the following information.

**Technology Center 2100** 

## REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

## **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Gregory J. Maier

Registration No.

Joseph A. Scafetta, Jr. Registration No. 26,803

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/98)

Docket No.

214406US2SRD

## IN THE UNITED STATESPATENT AND TRADEMARK OFFICE

DEC 2 6 2001

IN RE APPLICATION OF:

Shin-Ichi Kanno

SERIAL NO:

09/961,374

FILED:

September 25, 2001

FOR:

DISTRIBUTED ORDER RECEPTION SERVER, CONTENT SERVER, DISTRIBUTED ORDER

RECEPTION METHOD, AND COMPUTER PROGRAM PRODUCT

## INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

DEC 2 8 2001

2152

SIR:

Applicant(s) wish to disclose the following information.

Technology Center 2100

GAU:

**EXAMINER:** 

### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

## **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Gregory J. Maier Registration No.

egistration No. 25,599

Joseph A. Scafetta, Jr. Registration No. 26.803

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/98)

NAME  AA 6,295,560  9-25-01 Shin-lehi Kanno  RECEIVED  AB  AC  AD  AD  AE  AF  AF  AF  AI  AI  AJ  AJ  AN  AV  COUNTRY  FOREIGN PATENT DOCUMENTS  TRANSLATION NO  TRANSLATION NO  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  Examiner  Examiner  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 009; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	Form PTO 1449 (Modified)		U.S. DEPARTMENT OF PATENT AND TRADE	F COMMERCE MARK OFFICE	ATTY DOCKET NO. SERIAL NO. 09/961,374					
September 25, 2001  2152  U.S. PATENT DOCUMENTS  EXAMINER INTIAL  DOCUMENTA THE DATE  NAME  CLASS  SUB CLASS  IF LING DATE  CLASS  IF APPROPRIATE  CLASS  AS BECLIVED  AC CLASS  AC CLASC  AC CLASC  AC CLASS  AC CLASC  AC CLASC	LIST OF REFERENCES CITED BY APPLICANT									
EXAMINER INTIAL AA 6,299,560 9-25-01 Shin-Ichi Kanno RECEIVED  AC AC AD AC			DEC 2 6	2001			GROUP	2152		
AA 6,295,560 9-25-01 Shin-Ichi Kanno  AB AC					J.S. PATENT DOCUMENTS					
AB  AC  AD  AD  AE  AF  AF  AG  AG  AH  AI  AI  AI  AI  AN  AN  AN  AN  AN  AN	EXAMINER INITIAL		DOCUMENTAT OF	DATE	NAME	CLASS	SUB CLASS			
AD  AE  AF  AF  AG  AG  AH  AI  AJ  AK  AL  AN  AN  DOCUMENT NUMBER  DOCUMENT NUMBER  AO  AO  AP  AO  AO  AP  AO  AO  AP  AO  AP  AO  AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW  AX  AY  AZ  Examiner  Date Considered  Date Considered  Date Considered  Date Considered  Date Considered		AA	6,295,560	9-25-01	Shin-Ichi Kanno					
AD  AE  AF  AF  AG  AG  AH  AI  AJ  AK  AL  AN  AN  DOCUMENT NUMBER  DOCUMENT NUMBER  AO  AO  AP  AO  AO  AP  AO  AO  AP  AO  AP  AO  AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW  AX  AY  AZ  Examiner  Date Considered  Date Considered  Date Considered  Date Considered  Date Considered			<u> </u>			R	ECEI	VED		
AE AF AF AG AG AH AH AI AI AJ AJ AN AN AN AN AN AN AN AO AO AP AP AQ AQ AR AR AS AS AT AT AU AU AV  OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)  AW  AX AY AZ  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in zonformance and not considered. Include copy of this form with next communication to applicant.						1				
AG AH AI AI AJ AJ AS AK AL AL AM AN AN AN AN AN AN AN AN AN AO AO AP AQ AQ AQ AR AR AS AS AT AU AU AV  OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)  COTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)  Examiner  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in zonformance and not considered. Include copy of this form with next communication to applicant.					****	70.	70 2 8	2001		
AG AH AI AI AJ AJ AS AK AL AL AM AN AN AN AN AN AN AN AN AN AO AO AP AQ AQ AQ AR AR AS AS AT AU AU AV  OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)  COTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)  Examiner  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in zonformance and not considered. Include copy of this form with next communication to applicant.						lechno	<del>logy Cer</del>	ter 2100		
AI AJ AK AK AL AL AL AN										
AJ AK AL AM AN		AH		-		1				
AK AL AM AN		Al								
AL AM AM AN FOREIGN PATENT DOCUMENTS  FOREIGN PATENT DOCUMENTS  TRANSLATION YES NO  AO AP AP AQ AR AR AR AN AY AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  Examiner  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		AJ								
FOREIGN PATENT DOCUMENTS  FOREIGN PATENT DOCUMENTS  TRANSLATION YES NO  AO  AP  AQ  AQ  AR  AS  AT  AU  AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AX  AX  AY  AZ  Examiner  Date Considered  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		AK								
FOREIGN PATENT DOCUMENTS    DOCUMENT   DATE   COUNTRY   TRANSLATION   YES   NO     AQ		AL								
FOREIGN PATENT DOCUMENTS  DOCUMENT NUMBER DATE COUNTRY TRANSLATION YES NO  AO AO AP AP AO						_				
DOCUMENT NUMBER DATE COUNTRY TRANSLATION YES NO  AO AO AP AP AQ	<u> </u>	AN	•							
NUMBER  AO  AO  AP  AQ  AR  AR  AS  AT  AU  AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW  AX  AY  AZ  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.				FO	REIGN PATENT DOCUMENTS					
AP AQ AQ AR AR AS AS AT AU AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW AX AX AY AZ  Examiner  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				DATE	COUNTRY					
AQ AR AS AS AT AU AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW AX  AY AZ  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										
AR AS AT AU AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW AX AY AZ  Examiner  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										
AS AT AU AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW  AX  AY  AZ  Examiner  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										
AT AU AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW  AX  AY  AZ  Examiner  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										
AU  AV  OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW  AX  AY  AZ  Examiner  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				<u>                                     </u>						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  AW  AX  AY  AZ  Examiner  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	-									
AX  AY  AZ  Examiner  Date Considered  Fexaminer: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					<del></del>					
AX  AY  AZ  Examiner  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			OTHER REF	ERENCES (I	ncluding Author, Title, Date, Pertinen	t Pages, e	tc.)	1		
AX  AY  AZ  Examiner  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										
AZ  Examiner  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		AW	=							
AZ  Examiner  Date Considered  Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		AX								
Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		AY								
Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		A 7				<u> </u>				
Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		~~				T				
conformance and not considered. Include copy of this form with next communication to applicant.	Examiner —————									
usenSDRAKIIDS Related(s)/214406US2 SRD pto 1449.wpd	conformance a	and not	t considered. Include cop	hether or not y of this form	citation is in conformance with MPEP 60 with next communication to applicant.	09; Draw li	ne through	citation if not in		

1



# RECEIVED

DEC 2 8 2001

# LIST OF RELATED CASES

Technology Center 2100

Docket Number	Serial or Patent No.	Filing or Issue Date	Status or Patentee
0039-6963-2RD	6,295,560	09/25/01	KANNO et al.
214406US2 SRD*	09/961,374	09/25/01	PENDING